



DIPLOMADO EN  
**CERTIFICACIONES DE SEGURIDAD**  
DE LA CADENA DE SUMINISTROS



2<sup>o</sup>  
EDICIÓN

# Módulo III

## CTPAT “Alianza de aduanas contra el terrorismo”

- Antecedente y contexto de CTPAT
- Proceso de obtención de certificación CTPAT
- Manejo general de portal CTPAT

## Operador Económico Autorizado en México

- Antecedente y contexto de OEA en México
- Proceso de obtención de certificación OEA
- Requisitos de OEA en México



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# Customs-Trade Partnership Against Terrorism



El programa se lanzó en noviembre de 2001 con siete participantes iniciales, todas grandes empresas estadounidenses.

Los 4315 importadores en el programa representan aproximadamente el **54% del valor de todas las mercancías importadas a los EE. UU.**







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## CERTIFICACIONES DE SEGURIDAD DE LA CADENA DE SUMINISTROS



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### Obligaciones CTPAT

- Actualización del Perfil de Seguridad una vez por año
- Cumplimiento de los requerimientos del Perfil de Seguridad
- Notificar incidentes de seguridad





# Marco Legal de CTPAT

## Subtitle B—Customs-Trade Partnership Against Terrorism

### Security and Accountability For Every Port Act of 2006

[Public Law 109–347; Approved October 13, 2006]

[As Amended Through P.L. 115–254, Enacted October 5, 2018]

[Currency: This publication is a compilation of the text of Public Law 109-347. It was last amended by the public law listed in the As Amended Through note above and below at the bottom of each page of the pdf version and reflects current law through the date of the enactment of the public law listed at <https://www.govinfo.gov/app/collection/comps/>]

[Note: While this publication does not represent an official version of any Federal statute, substantial efforts have been made to ensure the accuracy of its contents. The official version of Federal law is found in the United States Statutes at Large and in the United States Code. The legal effect to be given to the Statutes at Large and the United States Code is established by statute (1 U.S.C. 112, 204).]

AN ACT To improve maritime and cargo security through enhanced layered defenses, and for other purposes.

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,*

#### SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

(a) [ 6 U.S.C. 901 note ] SHORT TITLE.—This Act may be cited as the “Security and Accountability For Every Port Act of 2006” or the “SAFE Port Act”.

(b) TABLE OF CONTENTS.—The table of contents for this Act is as follows:

- Sec. 1. Short title; table of contents.
- Sec. 2. Definitions.

#### TITLE I—SECURITY OF UNITED STATES SEAPORTS

##### Subtitle A—General Provisions

- Sec. 101. Area Maritime Transportation Security Plan to include salvage response plan.
- Sec. 102. Requirements relating to maritime facility security plans.
- Sec. 103. Unannounced inspections of maritime facilities.
- Sec. 104. Transportation security card.
- [Sec. 105. Repealed.]
- Sec. 106. Prohibition of issuance of transportation security cards to persons convicted of certain felonies.
- Sec. 107. Long-range vessel tracking.
- Sec. 108. Establishment of interagency operational centers for port security.
- Sec. 109. Notice of arrival for foreign vessels on the Outer Continental Shelf.
- Sec. 110. Enhanced crewmember identification.

##### Subtitle B—Port Security Grants; Training and Exercise Programs

- Sec. 111. Risk assessment tool.
- Sec. 112. Port security grants.
- Sec. 113. Port Security Training Program.
- Sec. 114. Port Security Exercise Program.

## The Security and Accountability for Every Port Act of 2006

### SEC. 211. [6 U.S.C. 961] ESTABLISHMENT.

(a) ESTABLISHMENT.—The Secretary, acting through the Commissioner, is authorized to establish a voluntary government-private sector program (to be known as the “Customs-Trade Partnership Against Terrorism” or “C-TPAT”) to strengthen and improve the overall security of the international supply chain and United States border security, and to facilitate the movement of secure cargo through the international supply chain, by providing benefits to participants meeting or exceeding the program requirements. Participants in C-TPAT shall include Tier 1 participants, Tier 2 participants, and Tier 3 participants.

(b) MINIMUM SECURITY REQUIREMENTS.—The Secretary, acting through the Commissioner, shall review the minimum security requirements of C-TPAT at least once every year and update such requirements as necessary.

### SEC. 212. [6 U.S.C. 962] ELIGIBLE ENTITIES.

Importers, customs brokers, forwarders, air, sea, land carriers, contract logistics providers, and other entities in the international supply chain and intermodal transportation system are eligible to apply to voluntarily enter into partnerships with the Department under C-TPAT.

### SEC. 213. [6 U.S.C. 963] MINIMUM REQUIREMENTS.

An applicant seeking to participate in C-TPAT shall—

- (1) demonstrate a history of moving cargo in the international supply chain;
- (2) conduct an assessment of its supply chain based upon security criteria established by the Secretary, acting through the Commissioner, including—
  - (A) business partner requirements;
  - (B) container security;
  - (C) physical security and access controls;
  - (D) personnel security;
  - (E) procedural security;
  - (F) security training and threat awareness; and
  - (G) information technology security;
- (3) implement and maintain security measures and supply chain security practices meeting security criteria established by the Commissioner; and

As Amended Through P.L. 115-254, Enacted Octob





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# Modalidades de CTPAT




- Travel
- Trade
- Border Security**
- Newsroom
- About CBP
- Careers
- Employee Resources

of Entry » Cargo Security and Examinations » CTPAT Minimum Security Criteria

## CTPAT Minimum Security Criteria

As a voluntary supply chain security program based on trust, CTPAT is open to members of the trade community who can demonstrate excellence in supply chain security practices and who have had no significant security related events. While each application to the CTPAT program is considered on an individual basis, applicants need to take into account that if issues of concern do exist, they may result in CBP determining the applicant to be ineligible for participation in the program.

In order to be accepted into CTPAT, your company must be able to meet certain security requirements. Please visit the pages listed below that correspond with your industry or your role in the industry if you are interested in partnering with CBP in the CTPAT program.

- Air Carriers
  - Consolidators(Air Freight Consolidators, Ocean Transport Intermediaries, and Non-Vessel Operating Common Carriers (NVOCC))
  - Customs Brokers
  - Exporters
  - Foreign Manufacturers
  - Highway Carriers
  - Importers
  - Long Haul Highway Carriers in Mexico
  - Marine Port Authority and Terminal Operators
  - Rail Carriers
  - Sea Carriers
  - Third Party Logistics Providers (3PL)
- Tags: Cargo Security, EO13891, Trade

Modalidades en las que son elegibles empresas mexicanas:

- Foreign manufacturers
- Highway carriers
- Long Haul Highway carriers in Mexico



# Requisitos de Elegibilidad CTPAT

## Foreign Manufacturers

As a voluntary supply chain security program based on trust, CTPAT is open to members of the trade community who can demonstrate excellence in supply chain security practices and who have had no significant security related events. While each application to the CTPAT program is considered on an individual basis, applicants need to take into account that if issues of concern do exist, they may result in CBP determining the applicant to be ineligible for participation in the program.

### Foreign Manufacturer Eligibility Requirements

- Be an active Manufacturer incorporated in Mexico or Canada. Copies of the company's certificate of incorporation must be made available upon request by CTPAT.
- Have an active U.S. Customs and Border Protection Manufacturer Identification (MID) Number.
- If applicable, Mexican based companies must provide the business' "Acta Constitutiva", which lists the full legal name of the company, and the full name of each owner or officer within the company.
- Mexican based companies must provide the RFC (Registro Federal de Contribuyentes), issued by the Mexican Tax Administration Service or SAT. The RFC is the unique business/tax identifier made up of letters and numbers. RFCs are 12 characters long for companies, LLCs and partnerships (Persona Moral); or 13 characters long for persons or proprietorships (Persona Física).
- Provide CTPAT with the correct Manufacturer Identification Number or MID number. This MID number must be constructed from the name and address of the manufacturer where the cargo originated. If an entry filed fails to include a properly constructed MID number, the entry may be rejected and any associated CTPAT benefits will be negated.
- Designate a company officer that will be the primary cargo security officer responsible for CTPAT.
- Sign the "CTPAT-Partner Agreement to Voluntarily Participate" and demonstrate commitment to the obligations outlined in this Agreement. This document is signed by a Company officer when the company applies for CTPAT membership via the CTPAT Portal.
- Complete a supply chain security profile in the CTPAT Portal, identifying how the company meets and maintains the Program's MSC for Foreign Manufacturers.
- Maintain no evidence of financial debt to CBP for which the responsible party has exhausted all administrative and judicial remedies for relief, a final judgment or administrative disposition has been rendered, and the final bill or debt remains unpaid at the time of the initial application or annual renewal.

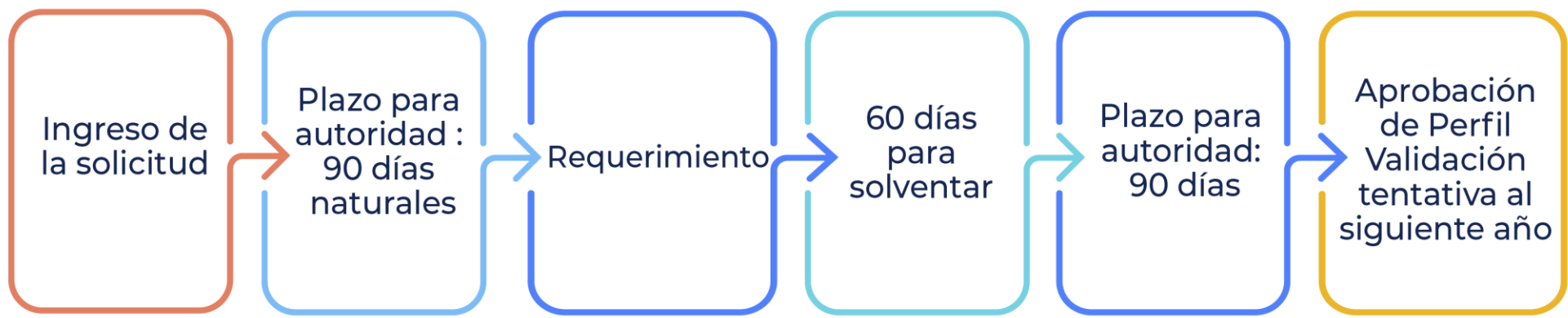


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**PROCESO POSTERIOR A INGRESAR LA SOLICITUD EN EL  
EN EL ESQUEMA DE CERTIFICACIÓN DE EMPRESAS:**



RGCE 7.1.1 : Permitir el acceso a personal de la AGACE  
7.1.4 Cuarto párrafo





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## Status de certificación CTPAT

### Aplicante

Empresa que ha enviado su Perfil de Seguridad y se encuentra en espera de revisión.

### Certificada (Nivel 1)

Empresa cuyo Perfil ha sido aceptado, pero no ha sido visitada en sus instalaciones.

### Certificada Validada (Nivel 2)

Empresa que ha concluido con éxito la visita a sus instalaciones.

### Certificada Validada (Nivel 3)

Empresa que cuenta con "Mejores prácticas de Seguridad".





# Beneficios CTPAT

- ▶ Se reduce la cantidad de inspecciones por CBP
- ▶ Prioridad en las inspecciones
- ▶ Tiempos de espera más cortos en las fronteras
- ▶ Asignación de un especialista
- ▶ Acceso a las líneas FAST
- ▶ Acceso al portal de C-TPAT y la librería de material de apoyo.
- ▶ Posibilidad de beneficios adicionales al ser reconocido como miembro del programa CTPAT por otras Aduanas que han firmado el Reconocimiento Mutuo.
- ▶ Prioridad de reanudación comercial después de un desastre natural o ataque terrorista



# Requisitos para uso del FAST

Empresa  
Exportadora  
a USA

**CERTIFICADA**

Empresa  
importadora  
hacia USA  
(IOR)

**CERTIFICADA**

Empresa  
transportista  
que lleva la  
carga

**VALIDADA**

Chofer  
maneja  
el transporte

**GAFETE FAST**





# Perfil de Seguridad CTPAT



## Perfil de Seguridad

- Análisis de Riesgos.
- Socios Comerciales.
- Seguridad de Contenedores.
- Seguridad de Procedimientos.
- Seguridad Física.
- Controles de Acceso físico.
- Seguridad del Personal.
- Entrenamiento de seguridad y concientización de amenazas.
- Seguridad de Tecnologías de la información.



Seguridad Corporativa



Seguridad de Transporte



Seguridad física y de personal

## Nuevo Perfil de Seguridad

- Visión de Seguridad y Responsabilidad.
  - Análisis de Riesgos.
  - Seguridad de Socios Comerciales.
  - Ciberseguridad.
- 
- Seguridad de Transporte e Instrumentos de Tráfico Internacional.
  - Seguridad de Sellos.
  - Seguridad de Procedimientos.
  - Seguridad Agrícola.
- 
- Controles de Acceso físico.
  - Seguridad Física.
  - Seguridad del Personal.
  - Educación, Entrenamientos y Concientización.

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# Portal CTPAT



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## Login

Thank you for your interest and participation in the U.S. Customs and Border Protection's (CBP) Trusted Trader Programs. CBP appreciates your assistance in strengthening global supply chain security and commitment to trade compliance.

As you begin your application for CBP's Trusted Trader Programs, Trade Compliance and/or the Customs Trade Partnership Against Terrorism (CTPAT), and whenever updating your account(s), always be aware there are user assistance materials freely available at [cbp.gov/ctpat](http://cbp.gov/ctpat). There is no fee or payment required to apply to or remain a Partner in any of CBP's Trusted Trader Programs.

For those who have been assigned a Supply Chain Security Specialist (SCSS) for CTPAT or a National Account Manager (NAM) for Trade Compliance, that CBP Official should always be your first point of contact for all questions relating to supply chain security or trade compliance. If you have not yet been assigned an SCSS for CTPAT, you may contact [industry.partnership@cbp.dhs.gov](mailto:industry.partnership@cbp.dhs.gov) for assistance. If you have not yet been assigned a NAM, you may contact [trustedtrader@cbp.dhs.gov](mailto:trustedtrader@cbp.dhs.gov) for assistance.

These Trusted Trader Programs aim to move toward a whole of government approach to supply chain security and trade compliance by strengthening government collaboration between participating Government agencies.

**Notice:** This system contains trade secrets and commercial and financial information relating to the confidential business of private parties. The trade secrets act, (18 USC 1905), provides penalties for disclosure of such information. CBP employees who violate this act and make wrongful disclosures of confidential commercial information may be subject to a personal fine of up to \$1,000, imprisonment for not more than one year, or both, and shall be removed from employment. An improper disclosure of certain information contained in this system would constitute a violation of the privacy act (5 USC 552a). Violators could be subject to a fine of not more than \$5,000. Information contained in this system is subject to the 3rd party rule and may not be disclosed to other government agencies without the express permission of the agency supplying the original information.

Paperwork reduction act statement: an agency may not conduct or sponsor an information collection and a person is not required to respond to this information unless it displays a current valid OMB control number. The control number for this collection is 1651-0077. The estimated average time to complete this application is twenty hours per respondent. If you have any comments regarding this burden estimate you can write to U.S. Customs and Border Protection, regulations and rulings, 90 K Street, NE Washington, DC 20229.

Expiration 9-30-2022



Email

Password

Login

Forgot your password?

Not yet Registered?

Register New Trade User

FAQ Help





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Help

Trade Organization(s) ↑

- LLC
- S
- L de C.V.
- R.L. de C.V.

Trade Account Information ↑

- Trade Account Profile
- Documents
- Status Verification Interface
- Tasks for Trade Organization

Partnership Programs ↑

- CTPAT Accounts

Trade Account Actions ↓

Company Name: DBA: ALVAR

## Trade Account Profile

Next Save

Company Information Addresses Users Summary

All \* fields are required. In order to save your trade account profile information you must enter all the required fields in the Company Information tab and add one address in the Addresses tab.

### Company Information

Company Name*	Doing Business As	<input type="text"/>
Ownership Type*	Telephone Number*	<input type="text"/>
Fax Number	Website	<input type="text"/>
Business Start Date*	Number of Employees*	<input type="text"/>
Company History*	<input type="text"/>	

View PDF

Trade Organization(s) ↑

- LLC
- .L de C.V.
- S.L. de C.V.

Trade Account Information ↑

- Trade Account Profile
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- Tasks for Trade Organization

Partnership Programs ↑

- CTPAT Accounts

Trade Account Actions ↓

Company Name: / DBA: /

Trade Account Profile

Previous Next Save

Company Information Addresses Users Summary

All \* fields are required. In order to save your trade account profile information you must enter all the required fields in the Company Information tab and add one address in the Addresses tab.

Users

Every Trade Account should have one or more POC and contacts and a Primary POC must be a company officer. Please enter information for all people who can and should have access to your company's account in the Portal. C-TPAT account contacts will be identified in the application process from the users you enter now.

Show 10 entries Search: /

Email	Last Name	First Name	Initial	Salutation	Title	Phone	Type
							Company Administrator
							User
							Company Administrator
							Company Administrator

Showing 1 to 4 of 4 entries Previous 1 Next

Add Edit Delete

View PDF





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Help ▾

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- LLC
- LL de C.V.
- R.L. de C.V.
- Desarrollador Colonial S.A

Trade Account Information ↑

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Trade Account Actions ↓ 44

Company Name:  DBA:

## A - Security Models

Click **Apply to C-TPAT** to create a new Security Model within your Trade Account.  
Click **Manage C-TPAT Security Models** to Duplicate, Split, or Merge existing Security Models.  
For more details on these Model Operations see the [C-TPAT Portal 2.0 Trade User Manual](#).

[Apply to C-TPAT](#) [Manage C-TPAT Security Models](#)

Model Name	C - Highway Carrier	Model Number	
Business Type	[Mexico]	C-TPAT Account #	
Security Profile Status		Status	
Certified Date		Anniversary Date	
		Next Tentative Validation	
<b>SCSS Information</b>			
Name	Phone	281-594-5440	@cbp.dhs.gov
Office			
<b>Primary POC Information</b>			
Name	Phone	01152 1 (664) 350-7582	iradostrucking.com

Select... ▾

- Select...
- Company Profile
- Security Profile
- Validation Summary
- Model Documents
- Model Status Verification Interface
- Model Tasks



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Help

### Trade Organization(s)

- ING LLC
- 
- SA
- V.
- R.L de C.V.
- e R.L. de C.V.
- F.A.

### Trade Account Information

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### Partnership Programs

- CTPAT Accounts

### Trade Account Actions

Company Name: /  
Assigned SCSS: Clarke, Edith  
Host Program: C-TPAT

DBA:  
CTPAT Account #: 8  
Business Type: Highway Carrier - U.S. / Mexico

### Security Model ( )

Previous    Next    Save    Submit Entire Security Profile

Business Type    Business Entity Information    Addresses    **Contacts**    International    Security Profile    Summary

### Contact(s)

On the list below from your Trade Organization, indicate which individuals you desire to have access to this C-TPAT security model. The Primary contact must be either an employee, not a consultant. There must be at least one company officer indicated, as only a company officer can sign agreements and submit security profiles and valid responses. A person who is listed as a consultant in the Trade Organization can be designated as only a consultant in this security model.

	Primary Contact	Officer	Employee	Consultant	Receive Email	User Email	Last Name	First Name
1	<input checked="" type="radio"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>			
2	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
3	<input type="radio"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>			
4	<input type="radio"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>			



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**Trade Organization(s)**

- LLC
- L de C.V.
- .L. de C.V.

**Trade Account Information**

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**Partnership Programs**

- CTPAT Accounts

**Trade Account Actions** 44

Company Name: A  
 Assigned SCSS: Clarke, Edith  
 Host Program: C-TPAT

DBA: /  
 CTPAT Account #:  
 Business Type: Highway Carrier - U.S. / Mexico

Security Mod [ ]

Previous Next Save Submit Entire Security Profile

Business Type Business Entity Information Addresses Contacts **International** Security Profile Summary

**Mutual Recognition Agreement - Optional**

I agree to the Mutual Recognition Consent for Disclosure

<input type="checkbox"/> Canada	<input type="checkbox"/> Dominican Republic	<input type="checkbox"/> European Union	<input type="checkbox"/> India
<input type="checkbox"/> Israel	<input type="checkbox"/> Japan	<input type="checkbox"/> Jordan	<input type="checkbox"/> Korea, Republic of
<input checked="" type="checkbox"/> Mexico	<input type="checkbox"/> New Zealand	<input type="checkbox"/> Peru	<input type="checkbox"/> Singapore
<input type="checkbox"/> Taiwan	<input type="checkbox"/> United Kingdom		







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Section Criteria

Status	#	Criteria	Help
Approved	1	<p><b>Upper Management Responsibility : Corporate Wide Security Measures (1) – Highway Carrier – U.S. / Mexico</b>            Have representatives from all of the relevant departments been incorporated into a cross-functional team to build a robust Supply Chain Security Program? Have these new security measures been incorporated into existing company procedures to create a more sustainable structure that emphasizes that supply chain security is everyone's responsibility?</p>	<p>Does your company have a team which maintains your supply chain security program? If yes, please detail the members of the team and each respective function.</p>
Approved	2	<p><b>Upper Management Responsibility : Audit Program (2) – Highway Carrier – U.S. / Mexico</b>            Is the supply chain security program designed with, supported by, and implemented by an appropriate written review component? The purpose of this review component is to document that a system is in place whereby personnel are held accountable for their responsibilities and all security procedures outlined by the security program are being carried out as designed. This is a requirement.</p>	<p>Briefly describe your company's supply chain security audit program, and list who (title or department) is responsible for administering it. This is a must to be part of the CTPAT program.</p>
Approved	3	<p><b>Upper Management Responsibility : Updating Audit Program (3) – Highway Carrier – U.S. / Mexico</b>            Is the review plan updated as needed based on pertinent changes in your organization's operations and level of risk? This is a requirement.</p>	<p>Please describe the process for updating the supply chain security audit program and include how often is it updated. This is a must to be part of the CTPAT program.</p>

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[Submit Entire Security Profile](#)

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[Summary](#)

### Security Profile

All Answers in Security Profile are not reviewed and certified (Please check all Check Boxes)

Security Sections	
Upper Management Responsibility	Approved
Risk Assessment	Approved
Business Partners	Complete
Procedural Security	Approved
Conveyance and IIT	Approved
Agricultural Procedures	Approved
Physical Security	Approved
Access Controls	Approved
Personnel Security	Approved
Education and Training	Approved
Cybersecurity	Approved

#### Upper Management Responsibility : Corporate Wide Security Measures (1) – Foreign Manufacturer

Have representatives from all of the relevant departments been incorporated into a cross-functional team to build a robust Supply Chain Security Program? Have these new security measures been incorporated into existing company procedures to create a more sustainable structure that emphasizes that supply chain security is everyone's responsibility?

Partner Response:

No character limit for response.

As part of the Annual Review of Security Profile, I have reviewed the information in this section and certify that it is accurate

SCSS Comments:

**Navigation**

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**Documents**

[Upload](#)
[Associate](#)
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[Remove](#)

#### Section Criteria

#	Status	#	Criteria	Help
1	Approved	1	Upper Management Responsibility : Corporate Wide Security Measures (1) – Foreign Manufacturer Have representatives from all of the relevant departments been incorporated into a cross-functional team to build a robust Supply Chain Security Program? Have these new security measures been incorporated into existing company procedures to create a more sustainable structure that emphasizes that supply chain security is everyone's responsibility?	Does your company have a team which maintains your supply chain security program? If yes, please detail the members of the team and each respective function.
2	Approved	2	Upper Management Responsibility : Audit Program (2) – Foreign Manufacturer Is the supply chain security program designed with, supported by, and implemented by an appropriate written review component? The purpose of this review component is to document that a system is in place whereby personnel are held accountable for their responsibilities and all security procedures outlined by the security program are being carried out as designed. This is a requirement.	Briefly describe your company's supply chain security audit program, and list who (title or department) is responsible for administering it. This is a must to be part of the CTPAT program.
3	Approved	3	Upper Management Responsibility : Updating Audit Program (3) – Foreign Manufacturer Is the review plan updated as needed based on pertinent changes in your organization's operations and level of risk? This is a requirement.	Please describe the process for updating the supply chain security audit program and include how often is it updated. This is a must to be part of the CTPAT program.
			Upper Management Responsibility : Updates to Management (4) – Foreign Manufacturer	





Trade Organization(s) ↑

de C.V.  
.. de C.V.

Trade Account Information ↑

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Partnership Programs ↑

- CTPAT Accounts

Trade Account Actions ↓

Company Name: Al

### Documents

Public Library C-TPAT Partner Library Partner Document Exchange Upload

View	Name	Type	Size	Uploaded By	Uploaded Date	
	CTPAT Trade Compliance Handbook FINAL.pdf	Trade Compliance	8.3 MB	Brandie Tardie	09-03-2022 09:13	
	Handbook Cover Letter External FINAL.pdf	Trade Compliance	147.7 KB	Brandie Tardie	09-03-2022 09:12	
	Portal Manual Update 8 26 22.pdf	Guide	4.8 MB	George Rudy	08-29-2022 08:21	
	Day 3 CTPAT 101 Workshop Presentation_.pdf	Training	1.8 MB	Carlos Ochoa	08-17-2022 08:34	
	Day 3 Minimum Security Criteria (MSC) Update with a focus ...	Training	1.9 MB	Carlos Ochoa	08-17-2022 07:14	
	Day 3 Virtual Validations Workshop Presentation (006).pdf	Training	1.1 MB	Carlos Ochoa	08-17-2022 07:14	
	Day 3 CTPAT - Trade Compliance and Forced Labor Worksh...	Training	508.7 KB	Carlos Ochoa	08-17-2022 07:13	
	CBP Guidance for Importers for UFLPA - June 2022.pdf	Guide	552.6 KB	Carlos Ochoa	06-14-2022 11:58	
	CTPAT Alert - Cybersecurity - Stop Ransomware - U.S. Gove...	Alert	675.1 KB	Carlos Ochoa	07-23-2021 08:23	
	CBP - Forced Labour - July 2021 .pdf	Guide	378.1 KB	Carlos Ochoa	07-22-2021 09:43	



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- Trade Organization 4
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- Trade Organization 10

**Trade Account Information**

- Trade Account Profile
- Documents
- Status Verification Interface
- Tasks for Trade Organization

**Partnership Programs**

- CTPAT Accounts

**Trade Account Actions**

Company Name: A ..... R.L. DE C.V.

### Status Verification Interface (SVI)

> More about SVI

Partners Monitoring Partner Search Security Model:

Partner Search Filter Search Results

Search by Company Name, DBA, or DUNS Filter by any column

Company	Model Name	Request Status	Request Date	Actions
Doing Business As	Business Type			

No records. Select a Monitoring Security Model and enter a Partner Search criteria.

Previous Next



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**Trade Organization(s)**

- AL
- Bu
- CC
- CC
- Cl
- Cl
- De

**Trade Account Information**

- Trade Account Profile
- Documents
- Status Verification Interface
- Tasks for Trade Organization

**Partnership Programs**

- CTPAT Accounts

**Trade Account Actions** 44

Company Name: AL L.L. DE C.V.

### Status Verification Interface (SVI)

> More about SVI

Partners Monitoring Partner Search Security Model: \_\_\_\_\_

All View all companies
 Your Requests View companies you are monitoring
 Requests by Companies View companies who are monitoring you

Filter Connection List  
 Filter by any column

Company	Model Name	Model Status	Request Status	Request Date	Actions
Doing Business As	Business Type				
[Redacted]	[Redacted] - Licensed U.S. Customs Broker	Validated	ACCEPTED	2019-10-23	

[View additional details](#)

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# Proceso actual para obtener la certificación OEA

1

Requisitos de Solicitud y Estándares mínimos de seguridad VUCEM.

2

Tiempo de resolución **120 días.**

3

Solo un requerimiento de **15 días** para solventarlo

4

Visita de validación.

5

Vigencia de **2 años.**



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## TRÁMITES HABILITADOS POR VUCEM PARA OEA







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# Requisitos

- Operaciones de comercio exterior durante los últimos dos años
- Pago de derechos (\$33,004.00MN)
- Cumplir con los estándares mínimos en materia de seguridad
- Designar a las empresas transportistas para el traslado de mercancía internacional
- Encontrarse en cumplimiento de sus obligaciones fiscales
- Contar con el legal uso o goce del inmueble
- Tener personal registrado ante el IMSS y cumplir con la obligación de retener el ISR
- Socios o accionistas, representantes legales deben encontrarse en cumplimiento de sus obligaciones fiscales



**Requisitos se han vuelto cada vez mas enfocados en lo fiscal**





# Reglas Generales de comercio exterior para 2023

*Ley 144-XXV, CFF 134*

## Título 7. Esquema Integral de Certificación

### Capítulo 7.1. Disposiciones Generales

#### Requisitos generales para la obtención del Registro en el Esquema de Certificación de Empresas

- 7.1.1.** Para los efectos de los artículos 28-A, primer párrafo de la Ley del IVA, 15-A, primer párrafo de la Ley del IEPS y 100-A de la Ley, los interesados en obtener el Registro en el Esquema de Certificación de Empresas bajo las modalidades de IVA e IEPS, Comercializadora e Importadora, Operador Económico Autorizado y Socio Comercial Certificado, deberán cumplir con los siguientes requisitos:
- I. Para el caso de las personas morales, deberán estar constituidas conforme a la legislación mexicana.
  - II. Estar al corriente en el cumplimiento de sus obligaciones fiscales y aduaneras; asimismo haber autorizado al SAT para hacer pública la opinión positiva sobre el cumplimiento de obligaciones fiscales en términos de la regla 2.1.25. de la RMF.
  - III. Tener personal registrado ante el IMSS y cumplir con la obligación de retener y enterar el ISR de los trabajadores.
  - IV. No encontrarse en el listado de empresas publicadas por el SAT en términos de los artículos 69 con excepción de lo dispuesto en la fracción VI y 69-B, cuarto párrafo del CFF.
  - V. Contar con certificados de sellos digitales vigentes, así como no encontrarse en alguno de los supuestos previstos en el artículo 17-H Bis del CFF, durante los últimos 12 meses, contados a partir de la fecha de presentación de la solicitud.

Es el título 7 de las RGCE el que establece el Esquema Integral de Certificación, que incluye las certificaciones OEA, IVA e IEPS y Socio Comercial Certificado y que comparten los requisitos generales.



# Reglas Generales de comercio exterior para 2022

*Ley 144-XXV, CFF 134*

## Título 7. Esquema Integral de Certificación

### Capítulo 7.1. Disposiciones Generales

#### Requisitos generales para la obtención del Registro en el Esquema de Certificación de Empresas

- 7.1.1.** Para los efectos de los artículos 28-A, primer párrafo de la Ley del IVA, 15-A, primer párrafo de la Ley del IEPS y 100-A de la Ley, los interesados en obtener el Registro en el Esquema de Certificación de Empresas bajo las modalidades de IVA e IEPS, Comercializadora e Importadora, Operador Económico Autorizado y Socio Comercial Certificado, deberán cumplir con los siguientes requisitos:
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# Obligaciones



Cumplir permanentemente con los requisitos por los que se otorgó la certificación



Dar avisos en casos de:

- Apertura o cierre de instalaciones.
- Adición o revocación de transportistas autorizados.
- Incidentes de seguridad.



Realizar el pago anual de derechos

Presentar Perfil cuando se realizan modificaciones





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Seguridad física

Seguridad de procesos

Socios comerciales

Gestión aduanera

Controles de acceso físico

Planeación de la seguridad en la cadena de suministros

Seguridad de la información y documentación

## Perfil de Seguridad

Seguridad de los vehículos de carga, contenedores, carros de tren, remolques y/o semirremolques

Manejo e investigación de incidentes

Capacitación en seguridad y concientización



## ¿Cuál es el objetivo del perfil de seguridad?

Asegurar que las empresas implementen prácticas y procesos de seguridad que aseguren su cadena de suministros para mitigar el riesgo de contaminación de sus mercancías con productos ilícitos.

E4.

 **SHCP**  
SECRETARÍA DE HACIENDA Y CREDITO PÚBLICO

Perfil de la empresa

 **SAT**  
Servicio de Administración Tributaria

Primera Vez: <input type="checkbox"/>	Renovación: <input type="checkbox"/>
	Adición: <input type="checkbox"/>
	Modificación: <input type="checkbox"/>
Los datos que proporcione sustituirán los que proporcionó cuando solicitó su autorización.	

Acuse de Recibo

**Demostrar que se cuenta con procesos documentados y verificables basados en un análisis de riesgos**





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